



Harmony Portfolio Management System (by *Infinite Investment Systems*) is an integrated software solution designed around the specific needs of investment management firms. Among many other benefits Harmony provides scalability, data integrity and process efficiency to a wide range of firms including:

- High Net-Worth Account Managers/Wealth Managers
- Fund Managers – Pooled or Mutual
- Institutional Managers
- Family Offices

Harmony is a comprehensive solution which combines core *portfolio valuation* functionalities with:

- Performance Measurement/Reporting (Time-Weighted, Dollar-Weighted, IRR), Composite Performance
- Trading Strategies & Electronic Order Management, What-If Analysis
- Modeling, Asset Mix (Weighted) Models, Monitoring/Rebalancing, KYC and Suitability
- Trade Order Extraction & Settlement Instructions
- Compliance – Pre & Post-Trade, Investment Mandate Tracking and Alerting
- Fund Accounting (GL Based)
- CRM, Electronic Statement Delivery, Portal Link
- Client Reporting and Customized Report Packages
- Process and Report Automation
- Dynamic User Restrictions/Adaptability
- Electronic Custodial Reconciliation & Transaction Import
- Management Fee Calculation & Invoicing, Third-Party Fees

As a service provider, we pride ourselves on our service and support. Our clients are our partners. Along with the ability to customize reports and tailor the system to your direct needs, we bring Harmony to your firm by offering you one integrated platform for all portfolio management and administrative needs.

Harmony's value is best illustrated through a live or online system demonstration. Contact us today for further information.

Mike Zegers, VP Sales and Marketing

Telephone: 416-861-0911 **Email:** mike.zegers@inf-systems.com



Ara Compliance Support provides independent registered firms with an outsourced fully functioning compliance department. Our goal is to put independent firms on par with their national and major regional competitors by providing ongoing access to compliance knowledge, experience and judgment. The Compliance Support Program is exclusive to Ara and was developed to address the unique needs of independent Portfolio Managers, Investment Fund Managers and Exempt Market Dealers. The Ara team of professionals serves as a virtual head office compliance department in support of the firm's designated Chief Compliance Officer. We provide an organized and rational process for managing compliance, so that management can focus on running its business. For more information please contact us at info@aracompliance.com



AUM Law focuses on serving the asset management sector in the areas of regulatory compliance and investment funds. AUM Law also supports clients in this sector by providing legal advice and services on structuring entities, raising capital, business combinations, and compliance with reporting issuers' and investors' disclosure obligations. Our clients include investment fund managers, portfolio managers, dealers, public and private investment vehicles including real estate funds, alternative funds and private equity funds, investors, and public and private companies. AUM Law strives to assist its clients with the most practical, forward-thinking advice and services, using a business model geared to efficiency, responsiveness and client service excellence, with a focus on fixed fees.



Aviso Wealth is a national, integrated financial services company with over 25 years of wealth management experience, 300 partners coast to coast, and \$55 billion in Assets Under Administration and Management. Aviso Wealth's Correspondent & Institutional division is an independent provider of custody, trading, wealth services and robust technology to credit unions, portfolio managers, and introducing brokers across Canada. We are a trusted partner with strong ownership to help grow your business. Aviso Wealth is jointly owned by Desjardins and a limited partnership comprised of the Credit Union Centrals/CUMIS, with each holding a 50% stake.

Learn more at: <http://www.aviso.ca/en/products-services/correspondent-institutional/>



Our Securities Registrant Regulation and Compliance lawyers are dedicated to understanding and resolving regulatory and other legal issues that may arise for registrants. BLG's broader Investment Management practice ensures that registrants receive expert, multi-dimensional legal services that are disciplined and cost-effective:

- Registrations, exemptions and relief from regulatory restrictions
- Compliance policies and procedures
- Regulatory compliance audits and investigations
- Responding to regulatory developments
- Fund formation, inclusive of tax advice
- Client documentation, marketing and disclosures
- New technologies, including trading, discount trading and digital advice platforms

BLG is an affiliate member of PMAC and is delighted to sponsor the 2019 Toronto Regulatory Compliance Forum. www.blg.com



Edgeworx Solutions Inc. is a leading Canadian solutions integrator, focused on optimizing the end-user experience in a world of complex digital infrastructures. With 20+ years of experience in the IT industry, the company combines renowned security, networking, and visibility vendors with deep consultative knowledge to transform technology investments into business drivers. As the network edge evaporates, Edgeworx acts as a trusted partner to organizations striving to achieve balance between protection and performance.

To learn more about how Edgeworx works to dramatically advance the potential of technology, please visit edge-worx.com or edge-worx.com/blog, and follow @Edge_worx on Twitter.

FASKEN

Fasken Martineau's Securities Regulatory Compliance Group provides expertise in regulatory matters to portfolio managers, investment fund managers and dealers. Whether the issue involves interacting with the Canadian securities regulators, IIROC or the MFDA, interpreting and responding to existing or pending legislative changes, or providing compliance advice on current issues, our team has the necessary expertise to deal with any regulatory issue, including internal investigations and enforcement matters. In particular we can provide you with integrated advice to help you avoid regulatory concerns before they become a problem. This includes assisting you with your registration filings, reviewing and revising your policies and procedures, helping you revise and create new forms, and dealing with recent regulatory developments.

Please contact:

- Toronto – Garth Foster at 416-868-3422 or gfooster@fasken.com
- Montreal – François Brais at 514-397-5161 or fbrais@fasken.com
- Vancouver – Steve Saville at 604-631-3150 or ssaville@fasken.com



CLIENT KNOWS BEST

As a leading provider of custody and clearing services, **Fidelity Clearing Canada (FCC)** provides robust, flexible and scalable solutions to portfolio managers, introducing and institutional brokers and investment advisors. Clients rely on us for expertise in wealth management, technology, trading and business transition, which gives them the freedom to focus on what they do best – helping end investors achieve their financial goals. Visit clearing.fidelity.ca to discover how FCC can mind your business.



We help compliance, risk and investment operations teams save time and reduce risk through the intuitive automation of shareholding monitoring and disclosure processes. Our services have been designed based on the input provided by the real compliance experts – the FundApps user community. Combining software and up-to-date regulatory content our services address the key challenges of position limits and shareholding disclosure monitoring. By supporting 95 jurisdictions we're extremely attractive to clients with an international approach to investment, as well as those with offices in multiple countries. <https://www.fundapps.co/>



Established in 1991, **Laurentian Bank Institutional Services** provides trading, clearing and settlement and custodial services to IIROC members and independent Portfolio Managers across Canada. Our proven platform supports a broad range of investments and asset classes including futures trading. Laurentian Bank Institutional Services is a division of Laurentian Bank Securities, a wholly owned subsidiary of Laurentian Bank. <https://www.vmbi.ca/portal/en/services-aux-institutions>



National Bank Independent Network is proud to sponsor the 2019 PMAC Regulatory & Compliance Forum in Toronto. With over 25 years of continuous service and a team of more than 200 professionals, National Bank Independent Network is Canada's leading provider of custody, trade execution and brokerage solutions to independent Portfolio Managers and Investment Dealers. Today we help over 400 independent firms manage their private client practice more efficiently by combining the flexibility of a brokerage platform with custody and a suite of powerful tools to deliver simplified administration backed by a solid brand.



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