



Harmony Portfolio Management System (by *Infinite Investment Systems*) is an integrated software solution designed around the specific needs of investment management firms. Among many other benefits Harmony provides scalability, data integrity and process efficiency to a wide range of firms including:

- High Net-Worth Account Managers/Wealth Managers
- Fund Managers – Pooled or Mutual
- Institutional Managers
- Family Offices

Harmony is a comprehensive solution which combines core *portfolio valuation* functionalities with:

- Performance Measurement/Reporting (Time-Weighted, Dollar-Weighted, IRR), Composite Performance
- Trading Strategies & Electronic Order Management, What-If Analysis
- Modeling, Asset Mix (Weighted) Models, Monitoring/Rebalancing, KYC and Suitability
- Trade Order Extraction & Settlement Instructions
- Compliance – Pre & Post-Trade, Investment Mandate Tracking and Alerting
- Fund Accounting (GL Based)
- CRM, Electronic Statement Delivery, Portal Link
- Client Reporting and Customized Report Packages
- Process and Report Automation
- Dynamic User Restrictions/Adaptability
- Electronic Custodial Reconciliation & Transaction Import
- Management Fee Calculation & Invoicing, Third-Party Fees

As a service provider, we pride ourselves on our service and support. Our clients are our partners. Along with the ability to customize reports and tailor the system to your direct needs, we bring Harmony to your firm by offering you one integrated platform for all portfolio management and administrative needs.

Harmony's value is best illustrated through a live or online system demonstration. Contact us today for further information.

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ara | COMPLIANCE SUPPORT
A Provider of Regulatory Solutions

Ara Compliance Support provides independent registered firms with an outsourced fully functioning compliance department. Our goal is to put independent firms on par with their national and major regional competitors by providing ongoing access to compliance knowledge, experience and judgment. The Compliance Support Program is exclusive to Ara and was developed to address the unique needs of independent Portfolio Managers, Investment Fund Managers and Exempt Market Dealers. The Ara team of professionals serves as a virtual head office compliance department in support of the firm's designated Chief Compliance Officer. We provide an organized and rational process for managing compliance, so that management can focus on running its business. For more information please contact us at info@aracompliance.com



AUM Law focuses on serving the asset management sector in the areas of regulatory compliance and investment funds. AUM Law also supports clients in this sector by providing legal advice and services on structuring entities, raising capital, business combinations, and compliance with reporting issuers' and investors' disclosure obligations. Our clients include investment fund managers, portfolio managers, dealers, public and private investment vehicles including real estate funds, alternative funds and private equity funds, investors, and public and private companies. AUM Law strives to assist its clients with the most practical, forward-thinking advice and services, using a business model geared to efficiency, responsiveness and client service excellence, with a focus on fixed fees.



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Learn more at: <http://www.aviso.ca/en/products-services/correspondent-institutional/>

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- Registrations, exemptions and relief from regulatory restrictions
- Compliance policies and procedures
- Regulatory compliance audits and investigations
- Responding to regulatory developments
- Fund formation, inclusive of tax advice
- Client documentation, marketing and disclosures
- New technologies, including trading, discount trading and digital advice platforms

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Fasken Martineau's Securities Regulatory Compliance Group provides expertise in regulatory matters to portfolio managers, investment fund managers and dealers. Whether the issue involves interacting with the Canadian securities regulators, IIROC or the MFDA, interpreting and responding to existing or pending legislative changes, or providing compliance advice on current issues, our team has the necessary expertise to deal with any regulatory issue, including internal investigations and enforcement matters. In particular we can provide you with integrated advice to help you avoid regulatory concerns before they become a problem. This includes assisting you with your registration filings, reviewing and revising your policies and procedures, helping you revise and create new forms, and dealing with recent regulatory developments.

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Established in 1991, **Laurentian Bank Institutional Services** provides trading, clearing and settlement and custodial services to IIROC members and independent Portfolio Managers across Canada. Our proven platform supports a broad range of investments and asset classes including futures trading. Laurentian Bank Institutional Services is a division of Laurentian Bank Securities, a wholly owned subsidiary of Laurentian Bank. <https://www.vmbi.ca/portal/en/services-aux-institutions>



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