

Advancing Standards Since 1952



## JULY 2018 - JUNE 2019 ACTIVITY AT A GLANCE

**28** New members for a total of **273** member firms collectively managing more than **\$2.75 trillion** in assets.

**4** New affiliates for a total of **39** affiliates.

**18** Submissions to regulators, governments, and SROS on policy initiatives impacting members

**71** Committee Meetings

**07** Educational Seminars/Webinars: New Member Orientation, Annual General Meeting, Managing Senior Clients, Lawyers' Network, Suitability Risk, Strengthening Firms Communication Strategies & Environmental, Social & Governance (ESG)

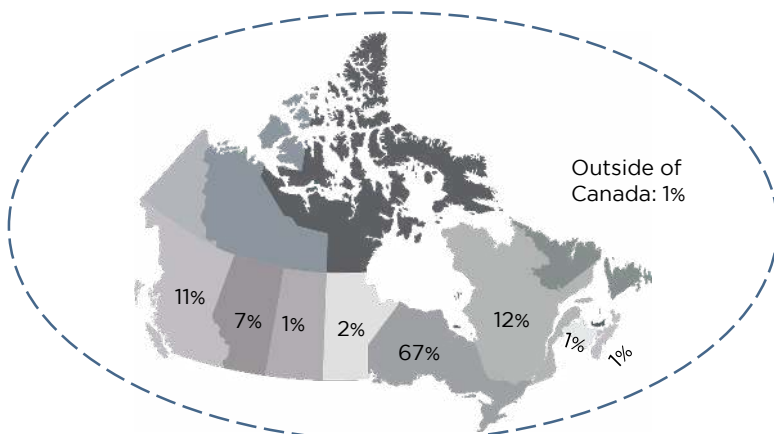
**05** Compliance Officers' Network meetings hosted at affiliate law firms across Canada

**04** CEO/Presidents' Dinners in Montreal, Toronto & Vancouver

**03** Full-day Regulatory & Compliance Forums in Vancouver, Montreal & Toronto

**02** Curling Bonspiels

### National Representation

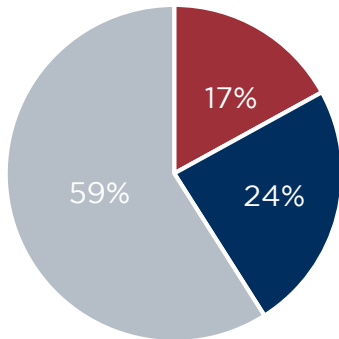


*“Our members may vary in their size, client base, business model, and/or investment philosophy. The common bond is that all members are registered portfolio managers, and as fiduciaries we are committed to the highest industry standards, putting clients’ needs and objectives first and foremost.”*

*- Denys Calvin, Chair of PMAC’s 2018-2019 Board of Directors*

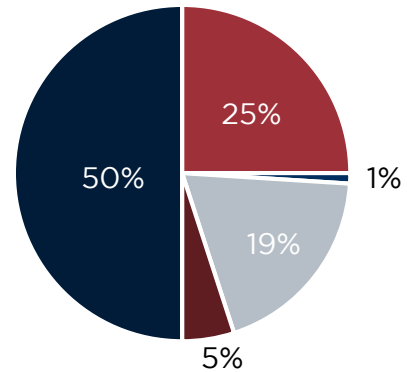
## WHO WE REPRESENT

### Membership by AUM



■ Over \$10 BLN ■ \$1 - \$10 BLN ■ Under \$1 BLN

### Firm Registration



■ PM: Portfolio Manager ■ EMD: Exempt Market Dealer  
 ■ IFM, PM ■ EMD, PM  
 ■ EMD, IFM, PM

### Clients Served

- 34** Serve only **Private Clients**
- 14** Serve only **Institutional Investors**
- 214** Serve **both Private and Institutional Investors**

## 2018/2019 EVENT STATISTICS

- 1215** Total event attendees
- 181** Of those attendees were through web channels
- 13** Events were in Eastern Canada
- 5** Events were in Western Canada
- 58** Events speakers, including keynotes from:
  - The Right Honourable Jean Chrétien;
  - Andrew Fastow, former CFO of Enron Corporation;
  - David Hausman, Partner, Fasken Martineau DuMoulin LLP;
  - Mark D. Cahn, Partner, WilmerHale & former General Counsel at the U.S. Securities and Exchange Commission (SEC)