



## PMAC Committee & Networking Groups Overview

This document outlines the committees and networking groups that are available to PMAC members. Please note that these meetings are all available by teleconference. Attendance to these meetings is not mandatory but members are encouraged to participate and contribute when able to.

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### Canadian Institutional Client Service Network (CICSN)

**Purpose:** A forum to discuss significant issues and trends specific to institutional client service member firms, as well as to grow and nurture a network of like-minded institutional client service colleagues for the betterment of the industry. Meets quarterly.

**Mandate:**

- A platform for Canadian Institutional Client Service Professionals to network and discuss local global trends including issues impacting their key functions while onboarding, retaining, and terminating clients.

**Requirements:**

- Active managers
- Global firm with Canadian presence
- \$20 - \$50 billion AUM in Canada
- Limited to Institutional business with similar strategies (public equities and fixed income)

### Compliance Officers' Network (CON)

**Purpose:** Member-driven forum for open discussion of compliance issues/share and develop guidelines for members. Meets 5 times a year, hosted at one of PMAC's affiliate law firms.

**Mandate:**

- To provide Members with a forum for the exchange of information in which compliance issues may be discussed by peers and in which guidelines for Members may be developed.
- To consider all matters with respect to compliance with securities, tax laws and other applicable regulations and to provide Member firms with any information that would be of assistance to them in the performance of compliance obligations.

**Requirements:**

- Restricted to PMAC members only
- Open to all members, CCOs, legal and other compliance professionals

**Committee Chair:** **Jacqueline Hatherly** – Chief Compliance Officer & Senior Legal Counsel, TD Asset Management

## Emerging Trends Committee

**Purpose:** Meets on ad hoc basis to address current member concerns and to remain abreast of significant issues and trends impacting firms as well as the Association.

**Mandate:**

- Acts as a supporting group to other PMAC groups and committees to ensure that they are implementing services aligned with emerging trends
- Monitors emerging industry trends, issues and the resulting impact on PMAC, its members and other constituents

**Current Chairs:** **Thomas Johnston** – Past PMAC Director; and **Lucas Pontillo** – Executive Vice President and Global Chief Financial Officer, Fiera Capital Corporation

## Industry, Regulation & Tax Committee (IRT)

**Purpose:** Meets monthly and monitors securities, tax and pension regulation to respond to relevant issues and to initiate issues for beneficial change by maintaining communication with governments and elected representatives.

**Mandate:**

- To monitor securities, pension and tax regulation and respond to relevant issues and regulatory proposals that impact Members.
- To initiate issues for beneficial change by maintaining communication with governments and elected representatives.
- To monitor and provide Members with regular updates on international developments impacting Canadian portfolio managers, and where appropriate, advocating on behalf of Members.

**Committee Chair:** **Margaret Gunawan** – Managing Director, General Counsel & Chief Compliance Officer, BlackRock Asset Management Canada

- **Pension Sub-Committee:** **Paul Purcell** – Managing Director, Head of Pension De-Risking, RBC Global Asset Management Inc.
- **Tax Sub-Committee:** **Theo Heldman**
- **Securities Sub-Committee:** **Margaret Gunawan** – Managing Director, General Counsel & Chief Compliance Officer, BlackRock Asset Management Canada

## Member Services Committee

**Purpose:** Meets monthly to discuss new educational events, seminars and sourcing member preferred supplier/group discounts.

**Mandate:**

- To provide valuable services to aid Members in the conduct of their businesses.
- To ensure effective communication of PMAC services and benefits of Membership

### Requirements:

- Participating members must dedicate sufficient time to participate meaningfully in the committee's projects

**Committee Chair:** **Julie Crothers** – Senior Vice President & Portfolio Manager, Coleford Investment Management

## Operations Heads' Network (OHN)

**Purpose:** Member driven networking forum for the Heads of Operations, Finance, Technology and Human Resources. Meets monthly and rotates between the three areas of focus.

### Mandate:

- To provide a regular opportunity in which technology, human resources and general administration/non-compliance issues may be discussed by peers.
- To develop guidelines, assistance to Members in operational policies and practices.

### Requirements:

- Participating members must be the heads of their firms' Operations, Finance, Technology or Human-Resources divisions

**Committee Chair:** **Adam Beach** – Vice President, Chief Compliance Officer and Operations Manager at Evans Investment Counsel

- **Finance Sub-Committee:** **Lauren Wright**, Controller, Sionna Investment Managers and **Jackie Corniel**, Controller, Beutel, Goodman & Company Ltd.
- **HR Sub-Committee:** **Christie Wood**, Human Resources Business Partner – Canada, MFS Investment Management
- **IT Sub-Committee:** **Jin Shi**, Director, Securities Operations, Timber Creek Asset Management

## Practices & Standards Committee (P&SC)

**Purpose:** To identify, review, and share industry best practices with Members and develop policies, templates and guidelines, where appropriate.

### Mandate:

- To develop sample policies, templates and guidelines designed to assist members in meeting securities laws and regulatory requirements including corporate governance matters and other matters determined to be of interest or use to Members.
- To monitor publication of documents relevant to portfolio managers prepared by other industry stakeholders that may be of interest or use to Members.

### Requirements:

- Participating members must dedicate sufficient time to participate meaningfully in the committee's projects and have a willingness to share knowledge and the committee workload

**Committee Chair:** **Michal Pomotov** - General Counsel and Chief Compliance Officer, Beutel, Goodman & Company Ltd.

## **Public Relations & Marketing Committee**

**Purpose:** The Public Relations and Marketing committee is dedicated to developing strategies to achieve new member and affiliate growth and retention.

**Mandate:**

- To build awareness of the Association, its membership, values and advocacy positions.
- To develop strategies to achieve new member and affiliate growth and retention
- To monitor member relations

**Requirements:**

- Participating members must dedicate sufficient time to participate meaningfully in the committee's projects and have a willingness to share knowledge and the committee workload

**Committee Chair:** **David Kilburn** – Investment Counsellor, CIBC Private Investment Counsel