

Webcast details will be provided to registered attendees.

Agenda

1:00 PM – 1:15 PM

Welcome & Opening Remarks

Event hosts:

David Doumani, Head of Risk & Compliance, Chief Compliance Officer | Presima Inc. (Quebec)

Amanda Singh, Director of Finance and Compliance | WealthBar Financial Services Inc. (British Columbia)



1:15 PM – 2:15 PM

Regulatory Update

In this moderated session, senior staff from the Autorité des marchés financiers (**AMF**), the Ontario Securities Commission (**OSC**) and the British Columbia Securities Commission (**BCSC**) will update PMAC members on recent regulatory relief and expectations arising out of the COVID-19 pandemic, as well as on guidance, current consultations, regulatory audit findings, and priorities.

Moderator:

John Black, Partner, Osler, Hoskin & Harcourt LLP

Panelists:

Jacinthe Des Marchais, Acting Senior Director of Investment Funds | AMF

Janice Leung, Manager, Adviser and Investment Fund Manager Compliance Team | BCSC

Elizabeth Topp, Manager, Compliance and Registrant Regulation | OSC



2:15 PM – 2:30 PM

Sponsor Break

2:30 PM – 2:50 PM

Client Focused Reforms – Session 1

A practical discussion about the substance and implementation of enhanced KYC, KYP and Suitability obligations under the Client Focused Reforms.

Speaker:

Jason Brooks, Partner | Borden Ladner Gervais LLP



2:50 – 2:55 PM

Sponsor Break

2:55 – 3:15 PM

Client Focused Reforms - Session 2

This session will focus on the Conflicts of Interest and title reform aspects of the Client Focused Reforms.

Speaker:

Élise Renaud, Partner | Fasken Martineau DuMoulin LLP



3:15 – 3:20 PM

Sponsor Break

3:20 – 3:40 PM

Client Focused Reforms - Session 3

The last of our three CFR sessions will focus on client communication, including marketing and relationship disclosure obligations.

Speaker:

Sonia Struthers, Partner | McCarthy Tétrault LLP



3:40 – 4:00 PM

Sponsor Break

4:00 – 4:45 PM

COVID-19 – Break-out sessions

Moderated break-out sessions for firms of varying AUM size to discuss the impact of COVID-19 and recent market turbulence on compliance, client service, disclosure and more.

Speakers on small to mid-size firm issues:

Jason Chertin, Partner | McMillan LLP, **Kevin Cohen**, CEO | AUM Law, **Dona Eull-Schultz**, SVP & PM | Cardinal Capital Management, **Adam Payne**, Wealth Counsellor & Compliance Office | Kinsted Wealth, **Shandi Tocheri**, Manager Client Services | Coleford Investment Management Ltd, **Marc Trotter**, Co-managing Director, PM & CCO | Coerente Capital Management Inc. and **Chris von Boetticher**, Senior Legal Counsel | AUM Law.



Speakers on large firm issues:

Brad Beuttenmiller, SVP, Chief Counsel, Corporate Secretary | Franklin Templeton Investments Corp, **Rebecca Cowdery**, Partner | Borden Ladner Gervais LLP, **Jacqueline Hatherly**, VP & Director, Risk & Policy Governance | TD Asset Management and **Michal Pomotov**, General Counsel & CCO | Beutel, Goodman & Company Ltd.



4:45 PM

Closing Remarks & Virtual Networking Reception