

8:00 AM – 9:00 AM Registration and Sponsor Plenary Welcome

9:00 AM Welcome

Co-Hosts:



Misoo Chung
Chief Compliance Officer
Presima Inc.



Doug Krist
Global Compliance Program
Office
Fiera Capital

9:15 AM – 10:30 AM Regulatory Update

Start the day with a candid discussion about the most pressing regulatory issues, findings, and upcoming initiatives. Find out which priorities the Québec, British Columbia and Ontario securities commissions expect portfolio managers to focus on. Learn about findings from the recent Conflicts of Interest sweep, Total Cost Reporting, ESG disclosure, KYC/KYP and suitability sweeps, and more. This session will conclude with time for audience Q&A, allowing you to hear directly from the regulators.



Christian Faribault
Partner
Borden Ladner Gervais LLP



Isabelle Boivin
Analyste aux pratiques de distribution
Autorité des marchés financiers



Edwin Leong
Lead Compliance Analyst
British Columbia Securities Commission



Kat Szybiak
Senior Legal Counsel
Ontario Securities Commission

10:30 AM – 10:45 AM Break and Sponsor Booth Viewings

10:45 AM – 12:15 PM Compliance Hot Topics

Compliance experts and legal counsel will explore current hot button topics for portfolio managers. Obtain practical advice on the issues that matter and find out what steps you can take to address them. Topics will include CFRs (Conflicts of Interest), upcoming KYP/KYC and suitability sweeps, and how technology solutions can enhance your compliance program.



Bianca Vasii
Regulatory Compliance
& Controls Analyst
Trans-Canada Capital Inc.



Noah Billick
Partner and Director of
Regulatory, Funds &
Compliance
Renno & Co



Laure Fouin
Partner
Osler, Hoskin & Harcourt LLP



Jessica Gendron
COO and General
Counsel
AlphaCCO



Élise Renaud
Partner
Fasken Martineau DuMoulin LLP

12:15 PM – 2:00 PM Lunch Break Keynote - The Challenge of Science Communication



Why is it so hard to communicate science to the public? Part of the problem is that medical research can sometimes be wrong. Whether we are talking about how eating chocolate can help you win a Nobel prize or whether coffee can cause a heart attack, sometimes what we hear on the news is not the whole story.

Dr. Christopher Labos

MD, MSc – Cardiologist, Medical
Journalist, Associate

2:00 PM – 2:15 PM Break and Sponsor Booth Viewings

2:15 PM – 3:15 PM AUM/Topic Based Roundtables

Which pressing issues, opportunities and challenges are you facing? Select one of three concurrent breakout sessions where you can discuss these with like-minded peers. Each session will be led by a seasoned compliance professional to facilitate discussions around topics such as training, conflicts of interest, registration hurdles and updates, ESG, etc.

3:15 PM – 3:30 PM Break and Sponsor Booth Viewings

3:30 PM – 4:30 PM ISSB's sustainability and climate-related financial disclosure standards

The International Sustainability Standards Board (ISSB) has released its standards for sustainability-related (IFRS S1) and climate-related (IFRS S2) financial disclosures. IOSCO and the Canadian Securities Administrators have voiced support for these standards which are likely to play a large role in disclosure going forward. Join Granville Martin, Director, Regulatory Outreach at IFRS Foundation/ISSB and Sonia Struthers, Partner, McCarthy Tétrault for a discussion about what these standards will mean for your firm.



Sonia Struthers
Partner
McCarthy Tétrault LLP



Granville Martin
Director, Regulatory Outreach
International Financial Reporting Standards Foundation

4:30 PM – 6:30 PM Concluding Remarks & Networking