



PORTFOLIO MANAGEMENT
ASSOCIATION OF CANADA

Advancing Standards™

Vancouver Regulatory & Compliance Forum 2025

Thursday May 15

8:00 AM – 9:00 AM

Registration and Sponsor Plenary Welcome

9:00 AM

Welcome

Host:



Cynthia Hawley
Compliance & Operations
Seymour Investment Management

9:15 AM – 10:30 AM

CSA Regulators' Panel

Start the day with a candid discussion with senior regulators at the Alberta, British Columbia Securities Commission, Manitoba, and Ontario securities commissions including what's top of mind for them, highlights from recent KYC/KYP and suitability examinations, future sweep considerations and upcoming regulatory consultations. The topics covered will include firm and individual registrations, the use of artificial intelligence, conflicts of interest, total cost reporting, independent review committees, and more. This session will conclude with an audience Q&A, with answers directly from the regulators.

Moderated
by:



Marina Tran
Partner
McMillan LLP



Lynn Tsutsumi
Director
Alberta Securities
Commission



Janice Leung
Manager
British Columbia
Securities Commission



David Cheop
Chair & CEO
Manitoba Securities
Commission



Elizabeth Topp
Manager
Ontario Securities
Commission

10:30 AM – 10:45 AM

Break and Sponsor Booth Viewings

10:45 AM – 12:00 PM

Compliance Hot Topics

Join leading compliance experts and legal counsel as they delve into today's most pressing regulatory challenges impacting portfolio managers. This panel will offer actionable insights and practical strategies to help you navigate complex compliance landscapes with confidence. Discover what's on the horizon, how to stay ahead of evolving requirements, and what steps you can take now to mitigate risk and ensure alignment with best practices.

Moderated
by:



Lindi Porter
Head of Regulatory
Complaints, Investigations
& Inquiries
RBC Canada



Jason Brooks
Partner
Borden Ladner Gervais
LLP



Jade Buchanan
Partner
McCarthy Tétrault LLP



Nadine Krenosky
Chief Compliance Officer
Leith Wheeler Investment
Counsel



Teresa Tomchak
Partner
Osler, Hoskin & Harcourt

12:00 PM – 12:10 PM Break

12:10 PM – 1:30 PM **Lunch Keynote - This Changes Everything: AI and the next competitive advantage**



Marc Low

Director of Innovation and Emerging Tech
KPMG

AI is no longer an abstract futuristic concept; it's a present reality. This presentation navigates the transformative impact of AI on businesses, exploring why technological disruption can be difficult to see, and what you'll need to turn AI Readiness and experimentation into competitive advantage. We'll explore real-life applications and challenges and share practical advice to help prepare your organization for the paradigm shift of AI adoption.

1:30 PM – 1:45 PM Break and Sponsor Booth Viewings

1:45 PM – 2:45 PM **AUM Breakout Session**

This session will provide a unique opportunity for attendees to gather with a small group of peers from like-sized firms for an in-depth discussion on issues facing your firm. Tables will be grouped by similar assets under management (AUM) and will engage in self-led discussions based on a list of suggested topics to be provided.

2:45 PM – 3:15 PM Break and Sponsor Booth Viewings

3:15 PM – 4:30 PM **Emerging Issues Panel**

In an era of rapid economic shifts and regulatory evolution, businesses and financial professionals must adapt to an increasingly complex environment. This panel brings together senior leaders in management, investment, legal, and compliance to explore the most pressing challenges and opportunities shaping the industry including geopolitics, investment strategies and KYC, KYP and suitability.

Moderated
by:



Chris Lee

Chief Legal & Compliance Officer
North Growth Management Ltd.



Allan Pankratz

President
Ballantyne Capital Ltd.



Colette Ward

Chief Compliance Officer
CC&L Funds

4:30 PM – 6:30 PM Concluding Remarks & Cocktail Reception