



PORTFOLIO MANAGEMENT  
ASSOCIATION OF CANADA

Advancing Standards™

# Vancouver Regulatory & Compliance Forum 2025

Thursday May 15

8:00 AM – 9:00 AM

Registration and Sponsor Plenary Welcome

9:00 AM

Welcome

Host:



**Cynthia Hawley**  
Compliance & Operations  
Seymour Investment  
Management

9:15 AM – 10:30 AM

CSA Regulators' Panel

*Start the day with a candid discussion with senior regulators at the Alberta, British Columbia Securities Commission, Manitoba, and Ontario securities commissions including what's top of mind for them, highlights from recent KYC/KYP and suitability examinations, future sweep considerations and upcoming regulatory consultations. The topics covered will include firm and individual registrations, the use of artificial intelligence, conflicts of interest, total cost reporting, independent review committees, and more. This session will conclude with an audience Q&A, with answers directly from the regulators.*

Moderated  
by:



**Marina Tran**  
Partner  
McMillan LLP



**Lynn Tsutsumi**  
Director  
Alberta Securities  
Commission



**Janice Leung**  
Manager  
British Columbia  
Securities Commission



**David Cheop**  
Chair & CEO  
Manitoba Securities  
Commission



**Elizabeth Topp**  
Manager  
Ontario Securities  
Commission

10:30 AM – 10:45 AM

Break and Sponsor Booth Viewings

10:45 AM – 12:00 PM

Compliance Hot Topics

*Join leading compliance experts and legal counsel as they delve into today's most pressing regulatory challenges impacting portfolio managers. This panel will offer actionable insights and practical strategies to help you navigate complex compliance landscapes with confidence. Discover what's on the horizon, how to stay ahead of evolving requirements, and what steps you can take now to mitigate risk and ensure alignment with best practices.*

Moderated  
by:



**Lindi Porter**  
AVP Compliance, Wealth  
Management  
RBC Indigo



**Jason Brooks**  
Partner  
Borden Ladner Gervais  
LLP



**Jade Buchanan**  
Partner  
McCarthy Tétrault LLP



**Nadine Krenosky**  
Chief Compliance Officer  
Leith Wheeler Investment  
Counsel



**Teresa Tomchak**  
Partner  
Osler, Hoskin & Harcourt

12:00 PM – 12:10 PM	Break
12:10 PM – 1:30 PM	<b>Lunch Keynote</b>
1:30 PM – 1:45 PM	Break and Sponsor Booth Viewings
1:45 PM – 2:45 PM	<b>AUM Breakout Session</b> <i>This session will provide a unique opportunity for attendees to gather with a small group of peers from like-sized firms for an in-depth discussion on issues facing your firm. Tables will be grouped by similar assets under management (AUM) and will engage in self-led discussions based on a list of suggested topics to be provided.</i>
2:45 PM – 3:15 PM	Break and Sponsor Booth Viewings
3:15 PM – 4:30 PM	<b>Emerging Issues Panel</b> <i>In an era of rapid economic shifts and regulatory evolution, businesses and financial professionals must adapt to an increasingly complex environment. This panel brings together senior leaders in management, investment, legal, and compliance to explore the most pressing challenges and opportunities shaping the industry including geopolitics, investment strategies and KYC, KYP and suitability.</i>
4:30 PM – 6:30 PM	Concluding Remarks & Cocktail Reception