

**8:00 AM – 9:00 AM** Registration and Sponsor Plenary Welcome  
**9:00 AM** Welcome

**Co-Hosts:**



**Eugene Park**  
Vice President Compliance  
Canoe Financial L.P.



**Saher Kazmi**  
Senior Director, Chief Compliance  
Officer  
CIBC Asset Management

**9:15 AM – 10:30 AM** CSA Regulators' Panel

Start the day with a candid discussion with senior regulators at the Manitoba, and Ontario securities commissions including what's top of mind for them, highlights from recent KYC/KYP and suitability examinations, future sweep considerations and upcoming regulatory consultations. The topics covered will include firm and individual registrations, the use of artificial intelligence, conflicts of interest, total cost reporting, independent review committees, and Canadian Securities Administrators priorities. This session will conclude with an audience Q&A, with answers directly from the regulators.

**Moderated  
by:**



**Scott Mahaffy**  
Managing Counsel  
MFS Investment Management



**Raymond Chan**  
Senior Vice President,  
Investment Management  
Ontario Securities Commission



**Matthew Onyeaju**  
Senior Vice President |  
Registration, Inspections and  
Examinations  
Ontario Securities Commission



**David Cheop**  
Chair & CEO  
Manitoba Securities Commission  
Vice-Chair, CSA

**10:30 AM – 10:45 AM** Break and Sponsor Booth Viewings

**10:45 AM – 12:00 PM** Compliance Hot Topics

Join leading compliance experts and legal counsel as they delve into today's most pressing regulatory challenges impacting portfolio managers. This panel will offer actionable insights and practical strategies to help you navigate complex compliance landscapes with confidence. Discover what's on the horizon, how to stay ahead of evolving requirements, and what steps you can take now to mitigate risk and ensure alignment with best practices.

**Moderated  
by:**



**Bryan Tamblyn**  
Chief Compliance Officer  
Cidel Asset Management



**Eric Adelson**  
General Counsel  
Leith Wheeler Investment  
Counsel



**Madeleine Cooper**  
Chief Compliance Officer  
RBC Phillips, Hager &  
North Investment Counsel



**Garth Foster**  
Partner  
Fasken Martineau  
DuMoulin



**Melissa Ghislanzoni**  
Counsel  
Borden Ladner Gervais

12:00 PM – 12:10 PM Break

12:10 PM – 1:30 PM **The Changing Tides of the SEC and Impact on US-registered Advisers**



**Karen Barr**  
President & CEO  
Investment Adviser  
Association



**Katie Walmsley**  
President  
Portfolio Management  
Association of Canada

Join Karen Barr, President and CEO of the Investment Adviser Association (IAA), for a timely discussion on the latest industry developments in the US. Will the recent appointment of Paul Atkins as the new Chair of the Securities and Exchange Commission (SEC) lead to a change in direction and focus? Are there other policy and regulatory shifts on the horizon for advisers? What's the impact on Canadian firms registered with the SEC? Katie Walmsley, PMAC President, will moderate this fireside chat and explore the changing business and regulatory landscape for US-registered advisers.

1:30 PM – 1:45 PM Break and Sponsor Booth Viewings

1:45 PM – 2:45 PM **AUM Breakout Session**

This session will provide a unique opportunity for attendees to gather with a small group of peers from like-sized firms for an in-depth discussion on issues facing your firm. Tables will be grouped by similar assets under management (AUM) and will engage in self-led discussions based on a list of suggested topics to be provided.

2:45 PM – 3:15 PM Break and Sponsor Booth Viewings

3:15 PM – 4:30 PM **Emerging Issues Panel**

In an era of rapid economic shifts and regulatory evolution, businesses and financial professionals must adapt to an increasingly complex environment. This panel brings together senior leaders in management, investment, legal, and compliance to explore the most pressing challenges and opportunities shaping the industry including geopolitics, investment strategies and KYC, KYP and suitability.

Moderated  
by:



**Geoff Grove**  
Chief Compliance Officer  
BlackRock Canada



**Kevin Minas**  
Institutional Portfolio Manager  
Mawer Investment Management



**Kim Shannon**  
Founder & Co-CIO  
Sionna Investment Managers



**Doug Krist**  
Global Compliance Program Office  
Fiera Capital Corporation

4:30 PM – 6:30 PM Concluding Remarks & Cocktail Reception