

PMAC Webinar

Opportunities in Hong Kong for Canada's asset management industry

DATE: Tuesday November 25, 2025

TIME: 8:30-9:30 AM ET

SPEAKER BIOGRAPHIES:

Fion Tsui, Director of Investment Products, Hong Kong Securities and Futures Commission

Ms. Fion Tsui is the Director of Investment Products Division of the Securities and Futures Commission (SFC) in Hong Kong. Ms. Tsui is responsible for the authorization and supervision of retail investment products as well as the development and implementation of various asset management related policies.

Gabriel Chénard, Senior Policy Analyst, Autorité des marchés financiers

Gabriel Chénard is a lawyer and senior policy analyst at the AMF's Intermediaries Oversight division. Gabriel has participated in many regulatory policy projects related to investment fund manager, dealer and adviser registration requirements and obligations, including as the project lead for the CSA Total Cost Reporting project.

Elizabeth Topp, Associate Vice President, Investment Management Division, Ontario Securities Commission

Liz Topp is an AVP in the Investment Management Division at the OSC. Her team is responsible for policy development related to the asset management industry with a focus on regulation of portfolio managers and investment fund managers.

Alwyn Li, Partner, Deacons

Alwyn has extensive experience in establishing and seeking SFC-authorisation of unit trusts and mutual funds domiciled in Hong Kong and overseas, with particular expertise in ESG funds, RMB denominated funds, QFI funds and UCITS funds. He also advises on fund restructurings, and assists clients with on-going compliance and post-authorisation issues.

In addition, Alwyn advises on the structuring and establishment of private investment funds. He regularly advises on regulatory matters across a broad spread of issues affecting the asset management industry in Hong Kong. He helps clients to set up their Hong Kong offices and obtain appropriate SFC licences.

Alwyn is experienced in advising on Hong Kong profits tax and stamp duty issues.

Sam Yu, Head of Compliance, Asia Pacific ex. Japan, Baring Asset Management (Asia) Limited, and Chairman of the Hong Kong Investment Funds Association (“HKIFA”) and Chairman of the HKIFA Regulatory Subcommittee.

Sam is a Certified Public Accountant in the United States and holds a BSc in Business Administration from Boston University, Massachusetts. He is based in Hong Kong.

Sam has over 20 years of industry experience. In addition to 10 years with Barings, Sam has worked with JP Morgan Asset Management in Hong Kong as the Head of Business AML/KYC Office, Asia. Before joining JP Morgan he worked with GAM Hong Kong Limited and the Royal Bank of Canada's wealth management business in Asia. He has also worked at Deloitte Touche Tohmatsu, Lehman Brothers, Bankers Trust in Hong Kong and Deloitte & Touche in New York.

Warren Rudick, VP & Chief Counsel, Canada, & Global Chief Counsel, Distribution Law, Manulife Wealth & Asset Management and Chair of the PMAC Industry, Regulation and Tax Committee

Warren leads and supports legal teams for Manulife's Canadian Wealth and Asset Management businesses, and also leads a global legal centre of expertise focused on institutional cross-border sales and marketing. His current industry activity includes serving on both the Board of Directors and as Chair of the Industry Regulation and Taxation Committee of the Portfolio Management Association of Canada.