

PMAC Calgary Compliance & Industry Hot Topics



Tuesday March 3

11:00 AM

Welcome

Host:



Patti Lang
Chief Compliance Officer
QV Investors Inc.



Victoria Paris
General Counsel
Portfolio Management Association of Canada

11:05 AM – 12:15 PM Compliance Hot Topics

Join our panel of leading compliance professionals and regulators for an in-depth discussion of the most pressing regulatory developments impacting portfolio managers today. This session will explore both current requirements and emerging policy trends, with practical insights on issues such as the CSA KYC, KYP and suitability guidance, AI guidance, liquidity risk management proposals, registration and RIME considerations, interprovincial harmonization and burden reduction initiatives.

Moderated by:



Don Campbell
Compliance and Regulatory Counsel
Canadian Compliance & Regulatory Law



Paula Sexsmith
Chief Compliance Officer
Viewpoint Investment Partners



Lynn Tsutsumi
Director
Alberta Securities Commission



Rob Wallis
Partner
Borden Ladner Gervais

12:15 PM – 1:15 PM

Lunch

1:15 PM – 2:30 PM Emerging Issues and Industry Hot Topics

The asset management industry is undergoing rapid transformation driven by artificial intelligence, emerging technologies, and shifting market dynamics. This panel of experts in law, regulation, and investment management will explore how innovation is reshaping portfolio strategy, and examine changes in the investment landscape, evolving risk management priorities, and the growth of mergers, acquisitions, and industry consolidation, highlighting strategic opportunities and competitive challenges. Attendees will gain practical insights on how firms can adapt and position themselves for long-term success.

Moderated by:



Bruce MacPhail
Counsel
Fasken Martineau DuMoulin



Robert Armstrong
Head of Multi-Asset Strategies
ATB Investment Management



Ryan Clements
Director
Alberta Securities Commission



Jordan Baimel
Partner
PwC

2:30 PM

Conclusion & Reception