

Le Forum sur la réglementation et la conformité de Montréal

Montreal Regulatory & Compliance Forum

Mardi 29 septembre

Tuesday September 29



8:00 AM – 9:00 AM

Registration and Sponsor Plenary Welcome

9:00 AM

Welcome

Co-Hosts:



Polina Gamayunova
Director, Operations & Strategy
Clear Skies Investment Management



Antoine Ruel
Senior Director, Operations and Compliance
Archer Wealth Management

9:15 AM – 10:30 AM

Emerging Issues

As the investment management landscape continues to evolve, industry leaders will explore the forces reshaping firms, markets, and decision-making. This timely session will bring together perspectives from across management, investment, legal, and compliance to examine emerging risks and opportunities, the changing regulatory environment, advances in technology and AI, and the strategic considerations shaping the future of the industry.

Moderator



Sonia Struthers
Partner
McCarthy Tétrault



David Doumani
Chief Compliance Officer and Chief Risk Officer
PineStone Asset Management



Dimitry Sapon
Senior Director, Team Lead, Data Science
iA Global Asset Management

10:30 AM – 10:45 AM

Break and Sponsor Booth Viewings

10:45 AM – 12:00 PM

Compliance Hot Topics

Join leading compliance experts and legal counsel for a timely, discussion-driven look at the issues shaping the compliance landscape today, from KYC, KYP and suitability, registration and RIME, to cybersecurity and AI, fees and compensation, and regulatory harmonization, including Passport. The session will unpack emerging challenges, highlight key risks and opportunities, and provide actionable strategies to help firms strengthen their compliance programs and navigate regulatory change with confidence.

Moderator



Tania Boulanger
Partner
BCF Business Law



Helen Barfurth
Compliance Consultant
Lester Asset Management



Marielle Girard
Senior Director
Legal and Regulatory Affairs
National Bank Investments



Louis-Philippe Marineau
Chief Compliance Officer
Legal Counsel
Van Borkom Global Asset Management

12:00 PM – 1:45 PM	Lunch
1:45 PM – 2:00 PM	Break and Sponsor Booth Viewings
2:00 PM – 3:00 PM	AUM/Topic Based Roundtables
3:00 PM – 3:15 PM	Break and Sponsor Booth Viewings
3:15 PM – 4:30 PM	Regulatory Update

Gain a clearer view of the regulatory landscape and what lies ahead. Senior representatives from the AMF and OSC will share their perspectives on evolving regulatory expectations, emerging areas of focus, and key developments affecting investment management firms. The discussion will explore lessons from recent regulatory work, changing approaches to oversight, and what firms should be considering as they prepare for the next wave of regulatory priorities. Get your questions answered during the Q&A portion at the end of the session.

Moderator



Christian Faribault
Partner
Borden Ladner Gervais LLP



Minh-Thu Bui
Inspector Coordinator
Autorité des marchés financiers



Nicole Truong
Legal Counsel
Regulatory Analyst
Autorité des marchés financiers



Line de Villers
Inspector Coordinator
Autorité des marchés financiers



Kat Szybiak
Senior Legal Counsel
Ontario Securities Commission

4:30 PM – 6:30 PM	Concluding Remarks & Networking
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